

FINANCIAL SUPERVISORY COMMISSION



Cook Islands

CORPORATE DECLARATION SHEET

(FSC Form MRB-3)

**FOR PERSONS OTHER THAN INDIVIDUALS WHO ARE OR WILL
BE SUBSTANTIAL SHAREHOLDERS**

**(As required under Sections 7 and 9 of the Money-changing and
Remittance Businesses Act 2009)**

CORPORATE DECLARATION SHEET

FOR PERSONS OTHER THAN INDIVIDUALS WHO ARE OR WILL BE SUBSTANTIAL SHAREHOLDERS

(Name of Applicant)

1. Name, principal office address and contact nos. of substantial shareholders in whose behalf this Form is being completed:

2. Type: (Check as applicable)

Company/Corporation _____ Partnership _____ Others-Specify: _____

3. Details of incorporation/registration:

a. Date of incorporation/registration: _____

b. Country of incorporation/registration: _____

c. Company registration no. _____

4. Principal line of business (*Describe and, if licensed as a financial institution, indicate name and address of supervising government agency, if any.*)

5. Ownership Profile (*List shareholders owning 10% or more of Paid-up Capital, and summarise under "Others" the number and amount pertaining to shareholders owning less than 10%.*)

<u>Name</u>	<u>Contact Address</u>	<u>Amount</u> ¹	<u>Paid-Up</u> <u>% of Total</u>
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Others – No. of

Shareholders _____

Total Paid-up Capital

_____ **100.0%**

¹ Indicate currency used here (i.e. US\$, AU\$, NZ\$, etc) _____.

6. Management Profile (*List of directors and officers*)

<u>Name and Address</u>	<u>Position/Designation</u>	<u>Tenure Month & Year</u>
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7. Law Enforcement or Disciplinary Proceedings:

- (a) Has your organisation been named in any complaint, pleading, judgment, order, or decree filed in any court of law which cited violations or alleged violations of applicable laws? If so, give details.

 - (b) Has your certificate of incorporation, registration, authority, or license to do business ever been suspended or revoked? If so, give details.

 - (c) Has your organisation ever been requested, advised, ordered or told by any governmental regulatory authority, board, commission or agency to divest any stock ownership or other ownership interest you have in another institution? If so, give details.

 - (d) Has your organisation been involved as a named party in any ongoing or pending legal or administrative hearing, proceeding, or investigation? If so, give details.
8. Are there any other facts or circumstances which could reasonably be considered to have negative impact or effect on the reputation of your organisation?

DECLARATION

I/We the undersigned, declare under penalty of perjury under the laws of the Cook Islands, that the preceding information being provided for *(state name of substantial shareholder)* _____ as existing/proposed substantial shareholder or associate of *(Name of applicant)* _____ are true and correct to the best of our knowledge and belief.

We further declare that to the best of our knowledge and belief, there are no other facts or information relevant to this application of which the Financial Supervisory Commission should be aware. We pledge to promptly inform the Financial Supervisory Commission of any changes material to this application which may arise while it is being considered by the Financial Supervisory Commission.

We also declare that we will obtain prior written approval from the Financial Supervisory Commission before winding up.

Signed this _____ day of _____, 20 ____

(Type Full Name and Title)

(Signature)

(Type Full Name and Title)

(Signature)

Subscribed and sworn to before me this _____ day of _____, 20 ____

(Seal)

(Signature over printed name of notary public)